

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard S. Valpey III

Filing Date: Filed Herewith

Title: Process for Producing Self-Cleaning Surfaces
From Aqueous Systems

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

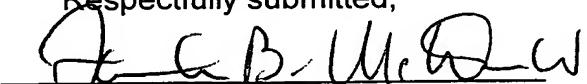
Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on Form PTO-1449. A copy of each listed foreign patent document and each non-patent citation is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted,

Dated: October 22, 2003


Frank B. McDonald
Registration No. 28,738
S.C. Johnson & Son, Inc.
Legal Department
1525 Howe Street
Racine, WI 53403
(262) 260-2000

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complte if Known	
				Application Number	
				Filing Date	Filed Herewith
				First Named Inventor	Richard S. Valpey III
				Group Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	J-3456A

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		3,354,022		Dettre, et al	03/31/64	
		4,517,375		Schmidt	05/14/85	
		4,525,213		Linn	06/25/85	
		4,568,718		Huebner, et al.	02/04/86	
		4,855,162		Wrasidlo, et al.	08/08/89	
		5,240,774		Ogawa, et al.	08/31/93	
		5,324,566		Ogawa, et al.	06/28/94	
		5,411,585		Avery, et al.	05/02/95	
		5,538,762		Ogawa, et al.	07/23/96	
		5,599,489		Saiki, et al.	02/04/97	
		5,741,765		Leach	04/21/98	
		5,753,734		Maruyama	05/19/98	
		6,020,419		Bock, et al.	02/01/00	
		6,156,409		Doushita et al.	12/05/00	
		6,197,426	B1	Coppens	03/06/01	
		6,218,000	B1	Rudolf, et al.	04/17/01	
		6,323,268	B1	Fisher, et al.	11/27/01	
		6,352,582	B1	Brown	03/05/02	
		2002/0048679	A1	Lohmer et al.	04/25/02	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴			
		EP	0933388	A2 Creavis Tech & Innovati	8-4-1999	
		WO	9604123	A1 Barthlott, Wilhelm	2-15-1996	✓
		JP	62191447	Hiramoto Hiroyuki et al.	8-21-1987	
		EP	1040874	A2 Creavis Tech & Innovati	10-4-2002	
		EP	0937962	A2 Creavis Tech & Innovati	8-25-1999	
		CA	2,302,118	Creavis	9-29-2000	
		CH	268258	Rhone-Poulenc	5-15-1950	
		EP	0772514	B1 Barthlott, Wilhelm	12-23-1998	
		GB	2121059	A Yarsley Research	12-14-1983	
		EP	1018531	A2 Creavis	5-25-2002	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p><i>Complete if Known</i></p>	
Sheet	2	of	3	Application Number	
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				First Named Inventor	Richard S. Valpey III
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	J-3456A

U.S. PATENT DOCUMENTS

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<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>			
<p><i>Complete if Known</i></p>			
<p>Application Number</p>			
<p>Filing Date</p>		<p>Filed Herewith</p>	
<p>First Named Inventor</p>		<p>Richard S. Valpey III</p>	
<p>Group Art Unit</p>			
<p>Examiner Name</p>			
<p>Sheet</p>	<p>3</p>	<p>of</p>	<p>3</p>
		<p>Attorney Docket Number</p>	
		<p>J-3456A</p>	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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